Docket No.

289925US6PCT

MAIL STOP PCT TO

IN THE UNITED STATES ATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Thomas ERNST, et al

SERIAL NO: 10/576,145

GAU:

FILED:

April 18, 2006

EXAMINER:

FOR:

FIELD-EFFECT MICROELECTRONIC DEVICE, CAPABLE OF FORMING ONE OR SEVERAL TRANSISTOR

CHANNELS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Gregory J. Maier

Registration No. 25,599

Customer Number

22850

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03)

Surinder Sachar

Registration No. 34,423

JUL 1 3 2006

SHEET 1 OF 1

| Form PTO 1449 | U.S. DEPARTMENT OF COMMERCE | | | ATTY DOCKET NO. 289925US6PCT | Æ | SERIAL N | 10. |
|--|-----------------------------|--|--------------|------------------------------|---|-----------------------|-------------------------------|
| (Modified) | | PATENT AND TRAD | EMARK OFFICE | 289925US6PCT | *** | 10/576, | 145 |
| | | | | APPLICANT | | | |
| LIST OF | REFE | RENCES CITED BY APF | PLICANT | Thomas ERNST, et al. | | | |
| | | | | FILING DATE | | GROUP | |
| | | | | April 18, 2006 | | | |
| U.S. PATENT DOCUMENTS | | | | | | | |
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
| | AA | 5 780 911 | 07/14/98 | PARK et al. | | | |
| | AB | 6 562 665 | 05/13/03 | YU | | | |
| | AC | 6 127 702 | 03/10/00 | YAMAZAKI et al. | | | |
| | AD | 5 965 914 | 10/12/99 | MIYAMOTO | | | |
| | ΑE | 6 413 802 | 07/02/02 | HU et al. | | | |
| | AF | 5 675 164 | 10/07/97 | BRUNNER et al. | | | |
| | AG | 6 355 532 | 03/12/02 | SELISKAR et al. | | | |
| | АН | 2002/0093053 | 07/18/02 | CHAN et al. | | | |
| | Al | | | | | | |
| | AJ | | | | | | |
| | AK | | | | | | |
| | AL | | | | | | |
| | AM | | | | | | |
| | AN | | | | , | | |
| FOREIGN PATENT DOCUMENTS | | | | | | | |
| | | DOCUMENT NUMBER | DATE | COUNTRY | | TRANSLATION YES NO | |
| | AO | | | | | | |
| | ΑP | | | | | | |
| | AQ | | | | | <u> </u> | |
| | AR | | | | | | |
| | AS | | | | | | |
| | AT | | | | | | |
| | AU | | | | | | |
| | AV | | | | | | |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) | | | | | | | |
| KIM, Sung-Min et al., "A Novel MBC (Multi-Bridge-Channel) MOSFET: Fabrication Technologies and Characteristics", Silicon | | | | | | | |
| | AW | Nanoelectrics Workshop, Pages 18-19, 2003. | | | | | |
| | AX | | | | | | |
| | AY | | | | | | |
| | | | | | | | |
| | AZ | | | | Additional References sheet(s) attached | | |
| Examiner | | | | | Date Considered | | |
| *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | |

U.S. PCT Application Serial No: 10/576,145

Filed: April 18, 2006 Thomas ERNST, et al. Docket No. 289925 US



STATEMENT OF RELEVANCY

- 1) References AA AF have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) Reference AH has been cited in the corresponding International Preliminary Examination Report. A copy of this reference is being submitted herewith.
- 3) References are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References AG & AW are additional prior art known to Applicant. A copy of these references is being submitted herewith.